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PTO/SB/81 (02-01)
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Applicati n Number	09/773,085
Filing Date	January 31, 2001
First Named Inventor	Paul Fraizer
Title	Integrated IVR/WEB Data Gathering Process and Method
Group Art Unit	2162
Examiner Name	
Attorney Docket Number	020375-021900US

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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all
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I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

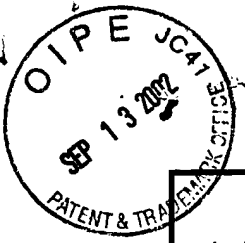
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Kenneth Algine, Asst. Secretary
Signature	
Date	September 4, 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.
Submit multiple forms if more than one signature is required, see below*.

☐ *Total of _____ forms are submitted.



STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Paul Frazier

Application No./Patent No.: 09/733,085

Filed/Issue Date: January 31, 2001

Entitled: Integrated IVR/WEB Data Gathering Process and Method

First Data Corporation, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To : _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

3. From: _____ To : _____
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

September 4, 2002

Date


Signature

Kenneth Algiene

Typed or printed name

Asst. Secretary

Title

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ASSIGNMENT

For good and valuable consideration, the receipt of which is hereby acknowledged, I hereby assign, transfer and convey to **First Data Resources, Inc.**, a corporation created and existing under and by virtue of the laws of the State of Delaware and having a principal place of business at 10825 Farnam Drive, C-34, Omaha, NE 68154, its successors, legal representatives and assigns, the entire right, title and interest in and to my invention for improvements in an **INTEGRATED IVR/WEB DATA GATHERING PROCESS AND METHOD**, in and to the application for Letters Patent of the United States therefor executed by us on this 31st day of January, 2001, and in and to the Letters Patent to be issued pursuant to said application, and any divisions, continuations, reissues and extensions thereof, and all applications for Letters Patent, or similar legal protection which may hereafter be filed for said invention in any country or countries foreign to the United States, and all Letters Patent which may be granted for said invention in any country or countries foreign to the United States, and all extensions, renewals and reissues thereof or legal equivalent thereof.

I hereby authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents or similar legal protection on applications aforesaid, to issue all Letters Patent or similar legal protection for said invention to said **First Data Resources, Inc.**, the same to be held by said **First Data Resources, Inc.** for its own use and behoof and for the use and behoof of its successors, or assigns, to the full end of the term for which said Letters Patent or similar legal

protection are or may be granted, as fully and entirely as the same would have been held and enjoyed by us had this assignment and sale not been made.

For said consideration, we hereby agree, upon the request of said assignee, its successors, legal representatives and assigns, to execute any and all divisional and renewal applications for said invention or improvements and any supplemental oath or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application that said assignee, its successors, legal representatives or assigns may deem necessary or expedient, and for said considerations, I further agree, upon the request of said assignee, its successors, legal representatives or assigns, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in Interference, to cooperate to the best of our ability with said assignee, its successors, legal representatives or assigns in the matters of preparing the preliminary statement and giving and producing evidence in support thereof. I hereby agree to perform, upon such request, any and all affirmative acts to obtain said Letters Patent and vest all rights therein hereby conveyed in the said assignee, its successors, legal representatives and assigns, as fully and entirely as the same would have been held and enjoyed by us if this assignment and sale had not been made, and hereby covenant and warrant that as of the date hereof I am the true and lawful owner of the entire right, title and interest in said invention, application for Letters Patent aforesaid, and the Letters Patent which may issue pursuant thereto, and have the full right and

power to convey the same, and that the same is free and clear of all liens, charges and encumbrances whatsoever, and that I have not executed and will not execute any agreement in conflict herewith.

WITNESS my hand and seal this 30 day of January, ²⁰⁰¹~~2000~~.

By: Paul Frazier
Paul Frazier

ACKNOWLEDGMENT

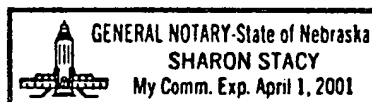
STATE OF NEBRASKA)
) ss:
COUNTY OF Douglas)

On this 30 day of January, 2001, before me, a Notary Public in and for the State and county aforesaid, personally appeared **Paul Frazier**, to me known and known to me to be the person of that name who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.

Sharon Stacy
Notary Public

My Commission Expires:

4/1/01



2162

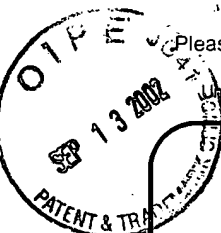
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/773,085	
	Filing Date	January 31, 2001	
	First Named Inventor	Frazier, et al.	
	Group Art Unit		
	Examiner Name		
Total Number of Pages in This Submission		Attorney Docket Number	020375-021900US

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address (1 pg.) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard, Statement 3.73(b) (with attached assignment documents)
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm and Individual name	Townsend and Townsend and Crew LLP Darin J. Gibby Reg. No. 38,464	
Signature		
Date	September 6, 2002	

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CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <div>September 6, 2002</div>		
Typed or printed name	Allison D. Frederick	
Signature		Date September 6, 2002

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